

52. (Amended) The method according to claim 50 wherein the investments include securities and the user request includes a request to generate a tax report for said securities, and the method further comprises the steps of:

- B1*  
*could*
- (a) generating said tax report based on the transaction records; and
  - (b) transmitting the tax report to the user over the internet.
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*B2*

54. (Amended) The method according to claim 50 wherein the investments include securities and the step of generating the net worth calculating takes into consideration commissions paid on purchase/sale of said securities.

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#### REMARKS

The instant amendment is being made to correct errors noted in the claim dependencies filed in the Preliminary Amendment filed October 17, 2001 and this amendment should be considered together with applicant's petition to make special also filed on October 17, 2001.

Respectfully submitted,

Date October 26, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Arthur Willard CHAFFEE

Title: INVESTMENT PORTFOLIO  
TRACKING SYSTEM AND  
METHOD

Appl. No.: 09/410,825

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**MARK-UP OF SUPPLEMENTAL PRELIMINARY AMENDMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

50. (Amended) The method according to claim 49 50, wherein the investments comprise investments held in multiple accounts and the step of retrieving includes the step of accessing databases that are maintaining the transaction records of the investments over the Internet and within said multiple accounts, said performance data being composite performance data based on said investments held in said multiple accounts.

51. (Amended) The method according to claim 50 0, wherein said retrieving of price data is performed in real-time.

52. (Amended) The method according to claim 50 0 wherein the investments include securities and the user request includes a request to generate a tax report for said securities, and the method further comprises the steps of:

- (a) generating said tax report based on the transaction records; and
- (b) transmitting the tax report to the user over the internet.

54. (Amended) The method according to claim 50  $\theta$  wherein the investments include securities and the step of generating the net worth calculating takes into consideration commissions paid on purchase/sale of said securities.